

# Metric Spaces and Topology


## Lecture 3

Open sets. In a metric space  $(X, d)$ , a subset  $U \subseteq X$  is called open if it is a union of open balls.

Obs. A set  $U$  is open  $\Leftrightarrow \forall x \in U, \exists$  ball  $B_r(x) \subseteq U$ ,  
i.e. every point in  $U$  comes with an entourage.

Proof.  $\Rightarrow$ . Let  $U = \bigcup_{i \in I} B_i$ , where each  $B_i$  is a ball.

Let's first prove this for one ball  $B_r(y)$ .

$B_r(y)$   Then  $\forall x \in B_r(y)$ ,  $B_{r'}(x) \subseteq B_r(y)$  for  
 $r' := r - d(x, y)$ .  $\hookrightarrow$  This holds because of  
the  $\Delta$ -inequality.

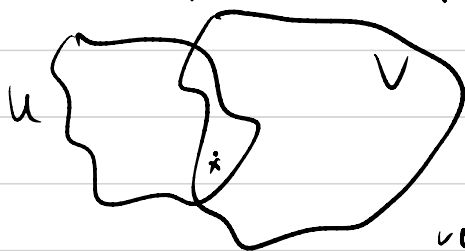
For a general  $U = \bigcup_{i \in I} B_i$ , if  $x \in U$ , then  $x \in B_i$   
for some  $i \in I$ , so by the above argument,  
 $B_{r'}(x) \subseteq B_i$  for some  $r' > 0$ .

$\Leftarrow$ . Suppose that every  $x \in U$  comes with an entourage  
 $B_x \subseteq U$ . Then  $U = \bigcup_{x \in U} B_x$ . □

Closure properties. In any metric space  $(X, d)$ , the class of open sets is closed under (arbitrary) unions and finite intersections.

Proof. The closure under union follows from the definition. As for finite intersections, it is enough to show for two sets and the rest follows by induction.

Let  $U, V \subseteq X$  be open sets. Fix  $x \in U \cap V$ .



Then  $\exists r_U$  s.t.  $B_{r_U}(x) \subseteq U$

and  $\exists r_V$  s.t.  $B_{r_V}(x) \subseteq V$ .

Then, letting  $r := \min\{r_U, r_V\}$ , we get  $B_r(x) \subseteq U \cap V$ . □

## Examples and nonexamples.

- In  $\mathbb{R}$  with usual metric, the following sets are open:
  - open intervals (bounded or unbd)
  - any union of open intervals
  - Proposition. Every open set in  $\mathbb{R}$  is a disjoint union of countably many open intervals.

Proof. HW. Hint: Cfblity follows by finding

a unique rational in each.

For the existence, prove that each point is contained in a maximal interval.

The following sets are not open in  $\mathbb{R}$ :

- a singleton  $\{x\}$ .

-  $\{p\} = \bigcap_{n \in \mathbb{N}} (-\frac{1}{n}, \frac{1}{n})$ , this is true for any pt.

So even a ctal intersection of open sets may not be open.

o  $X := [0, 1)$  with the usual metric. Then

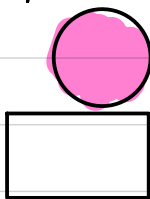
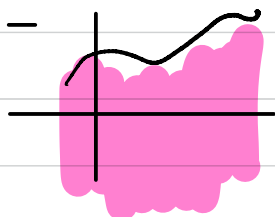
-  $(\frac{1}{2}, 1)$  is open

-  $[0, \frac{1}{2})$  is open (indeed, it is a ball around 0 of radius  $\frac{1}{2}$ ).

o In  $\mathbb{R}^2$  with any p-metric, the following are open:

- open balls = circles

- open rectangles



let  $f: \mathbb{R} \rightarrow \mathbb{R}$  be continuous.

Then  $\{(x, y) \in \mathbb{R}^2 : y < f(x)\}$ . HW

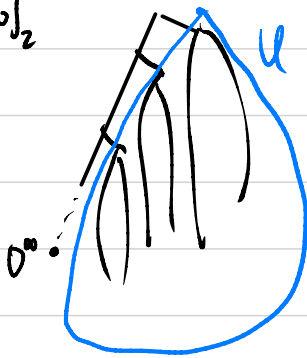
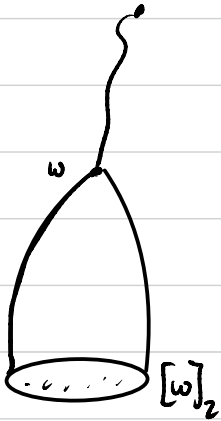
o In the Cantor space  $2^{\mathbb{N}}$  (or Baire space  $\mathbb{N}^{\mathbb{N}}$ ), the following are open:

- the cylinders: for a finite word  $w \in 2^{<\mathbb{N}}$  (formally,  $A^{<\mathbb{N}} := \bigcup_{n \geq 0} A^n$ ),

the set  $[w]_2 := \{wx : x \in 2^{\mathbb{N}}\}$   
 $= \{y \in 2^{\mathbb{N}} : y = wx\}$

is open since it's an open ball.

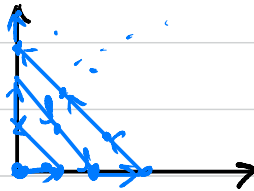
-  $U = [1] \cup [01] \cup [001] \cup [0001] \cup \dots$



Proposition. Every open set in  $2^{\mathbb{N}}$  or  $\mathbb{N}^{\mathbb{N}}$  is a disjoint union of (at) many cylinders.

Set theoretic digression.  $\mathbb{N} \times \mathbb{N}$  is ctbl.

Proof.



□

Any singleton  $\{x\}$  is not open in  $2^{\mathbb{N}}$  or  $\mathbb{N}^{\mathbb{N}}$ .



- In  $X := \{x\}$ , this  $\{x\}$  is open.
- In  $X := \{0\} \cup [1, 2]$ ,  $\{0\}$  is an open ball of radius 1.

Def. In a metric space  $(X, d)$ , for a set  $Y \subseteq X$ , an **interior** point of  $Y$  is a point  $y$  s.t.  $B_\varepsilon(y) \subseteq Y$  for some  $\varepsilon > 0$ . The **interior** of  $Y$ , denoted  $\text{int}(Y)$  or  $Y^\circ$ , is the set of all interior points.

Note. A set is open if it's equal to its interior.

Obs.  $\forall Y \subseteq X$ , its interior is open.

Proof. If  $B_\varepsilon(x) \subseteq Y$  then every point  $y \in B_\varepsilon(x)$  is an interior point, so  $\text{int}(Y)$  is the union of all such balls  $B_\varepsilon(x)$ . □

Obs. In fact,  $\text{int}(Y)$  is the  $\varepsilon$ -maximum open subset of  $Y$ .

Proof. Let  $Y'$  be another open subset of  $Y$ . Then each point of  $Y'$  is an interior point, hence  $Y' \subseteq \text{int}(Y)$ . □

## Examples.

- In  $\mathbb{R}$ ,  $\text{int}([0,1]) = (0,1)$ .
- In  $[0,1]$ ,  $\text{int}([0, \frac{1}{2}]) = [0, \frac{1}{2})$ .
- In  $\mathbb{R}$ ,  $\text{int}(\mathbb{Q}) = \emptyset$ ,  $\text{int}(\mathbb{R} \setminus \mathbb{Q}) = \emptyset$ .
- In  $\mathbb{R}$ , let  $\{q_n\}_{n \in \mathbb{N}}$ , let  $U := \bigcup_{n \in \mathbb{N}} (q_n - 2^{-n}, q_n + 2^{-n})$ .  
This  $U$  is open and dense  
since  $\mathbb{Q} \subseteq U$ . But  $\mathbb{R} \setminus U$  is nonempty  
because the "length" of  $U$  is  $\leq \sum_{n \in \mathbb{N}} 2^{-n} = 2$ .  
 $\text{int}(\mathbb{R} \setminus U) = \emptyset$  because  $U \supseteq \mathbb{Q}$   
but the "length" of  $\mathbb{R} \setminus U$  is  $\infty$ .

## Terminology.

### $\epsilon$ -neighborhood



A neighbourhood of a pt  $x \in X$  is a set  $S$   
s.t.  $x \in \text{int}(S)$ , i.e.  $B_\epsilon(x) \subseteq S$  for some  $\epsilon > 0$ .

An open neighbourhood is an open neighbourhood.

An  $\epsilon$ -neighbourhood of a point  $x$  is a neighbourhood contained in  $B_\epsilon(x)$ .

Def. An isolated point in a metric space  $(X, d)$  is a point  $x \in X$  s.t.  $\{x\}$  is open (equivalently,  $\{x\} = B_\epsilon(x)$  for some  $\epsilon > 0$ ).

Example. 0 is  $X := \{0\} \cup (1, 2)$ .

Def. A metric space is **discrete** if every point is isolated.

- Examples.
- $\mathbb{N}, \mathbb{Z}^2$  are discrete metric space with the usual metrics.
  - $\mathbb{Q}$  is not a discrete metric space, in fact it is **perfect**, i.e. there are no isolated points.
  - $X := \{\frac{1}{n} : n \in \mathbb{N}^+\}$  is discrete but there is no minimum distance between two points.

Indeed,  $d(\frac{1}{n}, \frac{1}{n+1}) < \frac{1}{n} \rightarrow 0$ .



- $X := \{0\} \cup \{\frac{1}{n} : n \in \mathbb{N}^+\}$  is not discrete since 0 is not isolated.



Def. We call two metrics  $d_1, d_2$  on  $X$  **bi-Lipschitz equivalent** if  $\exists C, D > 0$  s.t.

$$C d_2 \leq d_1 \leq D d_2. \text{ Denote this by } d_1 \sim d_2$$

We call  $d_1, d_2$  **equivalent** if the metric space  $(X, d_1)$  and  $(X, d_2)$  have the same open sets. Denote this by  $d_1 \sim d_2$ .

Obs.  $d_1 \sim d_2 \Rightarrow d_1 \sim d_2$  but the converse is false,  
for example:  $\mathbb{R}$  w/  $d$  the usual metric &  
 $d' := \min\{1, d\}$ . Then  $d \sim d'$  but  $d \not\sim d'$ .

Proof. HW.